

Attorney Docket No.: 5306P063

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Re Patent Application of:)
David L. Rabbers, et al.) Examiner: Clark, Isaac R.
Application No.: 09/976,400) Art Unit: 2154
Filed: October 11, 2001)
For: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION DURING SERVER SYNCHRONIZATION WITH A COMPUTING DEVICE)))))

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

AMENDMENT AND REQUEST UNDER 37 C.F.R. § 1.48(a) TO CORRECT INVENTORSHIP

Sir:

The undersigned hereby respectfully requests that the above-referenced application be amended under 37 C.F.R. § 1.48(a) to correct inventorship of the application.

The application was filed on October 11, 2001 naming only the following persons as the inventors of the present patent application: David L. Rabbers a citizen of the USA, and having a residence address of 7720 142nd Way SE, Newcastle, Washington 98059, Brian Scott a citizen of the USA and having a residence address of 16815 163rd Place SE, Renton, Washington 98058, and Martin Susser a citizen of the USA, and having a residence address of 7104 58th Street Court West, University Place, Washington 98467.

A Request to Correct Inventorship was filed on February 1, 2002 naming the following persons as the inventors of the present patent application: David L. Rabbers a citizen of the USA, and having a residence address of 7720 142nd Way SE, Newcastle, Washington 98059, Martin Susser a citizen of the USA, and having a residence address of 7104 58th Street Court West, University Place, Washington 98467, Pi-Yu Chung a citizen of Taiwan, ROC and having a

04/13/2005 HGUTEMA1 00000071 09976400

residence address of 15802 SE 58th Street, Bellevue, Washington 98006, and adding David J. George.

Pi-Yu Chung was inadvertently named instead of Brian Scott in the Request to Correct Inventorship.

Please correct and amend the present patent application so that Brian Scott a citizen of the USA and having a residence address of 16815 163rd Place SE, Renton, Washington 98058 is an inventor instead of Pi-Yu Chung. Please list the inventors in the following order: David L. Rabbers, Brian Scott, Martin Susser, and David J. George.

It is respectfully submitted that the error in inventorship of the present patent application was made without any deceptive intent by anyone, including the actual inventors.

Enclosed with this Request are the following documents:

- (1) Statement Of Facts by David L. Rabbers, Brian Scott, Pi-Yu Chung, Martin Susser, and David J. George stating that the error in inventorship of the present patent application was made without any deceptive intent by anyone, including the inventors;
 - (2) an executed Declaration/Power of Attorney indicating all inventors;
- (3) an Assent of Assignee (Siebel Systems) for Correction of Inventorship with a copy of the previously recorded Assignment document; and
- (4) an Assignment by all of the inventors to be recorded in accordance with 37 C.F.R. § 1.331. Please find enclosed a check in the amount of \$40.00 to cover the recordation fee required by 37 C.F.R. § 1.21(h).

Also enclosed is a check in the amount of \$130.00 in payment of the fee under 37 C.F.R § 1.17(i) for correction of inventorship.

Respectfully Submitted,

Date: 4/11/05

Reg. No. 55,851

Examiner: Clark, Isaac R.

Art Unit: 2154

APR 1 3 2005

Attorney Docket No.: 5306P063

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

David L. Rabbers, et al.

Application No.: 09/976,400

Filed:

October 11, 2001

For:

METHOD AND SYSTEM FOR

TRANSFERRING INFORMATION

DURING SERVER

SYNCHRONIZATION WITH A

COMPUTING DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

> ASSENT OF ASSIGNEE UNDER 37 C.F.R. § 3.73(b) FOR CORRECTION OF INVENTORSHIP

Sir:

Attached please find a copy of the Recordation of Assignment document that is currently on file with the U.S. Patent and Trademark Office concerning the above noted application, as well as a Recordation of Assignment that is being filed herewith. The Assignment documents are being submitted to provide evidence of chain of title for this application.

Assignee, Siebel Systems, a Delaware Corporation having a place of business at 2207 Bridgeport Parkway, San Mateo, CA 94404, does hereby assent to the Correction of Inventorship, the petition for which is filed herewith, which seeks to add David J. George, as an additional inventor in the abovereferenced application. The undersigned does hereby declare, under penalty of perjury, he or she is authorized by Siebel Systems to make this Assent of Assignce for Correction of Inventorship.

Respectfully Submitted,

Date: 4/11/05

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OS300.POUB Sebel Systems

APRIL 23, 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFN LAWRENCE E. LYCKE 12400 WILSHIRE BOULEVARD 7TH FLOOR LOS ANGELES, CA 90025

ZAFNI EGETVE APR 2 9 2002

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LOS ANGELES *101999954A*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/21/2002

REEL/FRAME: 012614/0646

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RABBERS, DAVID L.

DOC DATE: 01/16/2002

ASSIGNOR:

SUSSER, MARTIN

DOC DATE: 01/16/2002

ASSIGNOR:

CHUNG, PI-YU

DOC DATE: 01/16/2002

ASSIGNOR:

GEORGE, DAVID J.

DOC DATE: 01/16/2002

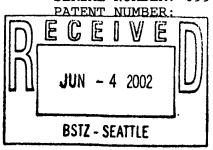
ASSIGNEE:

SIEBEL SYSTEMS, INC. 2207 BRIDGEPOINTE PARKWAY SAN MATEO, CALIFORNIA 94404

SERIAL NUMBER: 09976400 🗸

FILING DATE: 10/11/2001

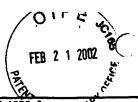
ISSUE DATE:



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012614/0646 PAGE 2

JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



03-04-2002

APR 1 3 2005 PartMENT OF COMMERCE Patent and Trademark Office

FORM PTO-1595 & TRADE 1 5 4 (Rev. 3/01)
OMD NO. 0651-0011 exp. 5/31/2002)

1019	1 9990 -		
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.			
Name of conveying party(ies):	2. Name and address of receiving party(ies):		
David L. Rabbers, Martin Susser, Pi-Yu	Name: Siebel Systems, Inc.		
Chung, David J. George			
Additional name(s) of conveying party(ies) attached? ■ No □Yes	Internal Address:		
3. Nature of Conveyance	Street Address: 2207 Bridgepointe Parkway		
☐ Security Agreement ☐ Change of Name	City: San Mateo State/Provence: CA Zip: 94404 .		
Other: Correction of Inventorship	Country: USA		
Execution Date(s): January 16, 2002	Additional name(s) & address(es) attached?		
 Application Number(s) or patent number(s): If this document is being filed together with a new application 	on, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
09/976,4000 Additional numbers a	ttached? ☐ Yes ■ No		
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1		
Name: Blakely, Sokoloff, Taylor & Zafman LLP	7. Total Fee (37 CFR 3.41)\$40.00		
Internal Address: 12400 Wilshire Boulevard, 7 th Floor	Authorized to be charged to deposit account		
City: Los Angeles State: California Zip: 90025	8. Deposit Account Number:		
	02-2666		
	(Attach duplicate copy of this page if paying by deposit account)		
DO NOT U	SE THIS SPACE		
9. Statement and signature. To the best of my knowledge and believe, the foregoing is true a document	nd correct and any attached copy is a true copy of the original		
Lawrence E. Lycke	Feb. 1, 2002		
Name of Person Signing / Sig	natyfre Date		
Total number of pages including cov	er sheet, attachments, and document: [.3]		

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents, Box Assignments Washington, D.C. 20231

005306.P063

03/01/2002 TDIAZ1 00000121 09976400 40.00 DP 01 FC:581

Attornev's Docket

SSIGNMENT

PATENT

No.: 005306.P063 (For Execution After Fi	ling Patent Application)
In consideration of good and valuable consideration	eration, the receipt of which is hereby
acknowledged, We	
the undersigned, David L. Rabbers, Martin Susser,	Pi-Yu Chung, and David J. George
hereby sell, assign, and transfer to Siebel Systems	
a corporation of Delaware , h	aving a principal place of business at
2207 Bridgepoint Parkway, San Mateo, CA 94404 and its successors, assigns, and legal representative United States and all foreign countries, in and to any the application for the United States patent that was Application No. 09/976,400 and is entitled	es, the entire right, title, and interest for the and all improvements that are disclosed in
METHOD AND SYSTEM FOR TRANSFERRING	INFORMATION DURING SERVER
SYNCHRONIZATION WITH A COMPUTING DEVI	CE
and in and to said application and all divisional applications, continued prosecution applications, continuation-in-prenewal applications, reissue applications, reexamina applications that have been or shall be filed in the Urany of said improvements; and in and to all original procedures, and extensions that have been or shall be foreign countries on said improvements; and in and the filing of said United States application;	part applications, substitute applications, ations, extensions, and all other patent nited States and all foreign countries on patents, reissued patents, reexamination be issued in the United States and all

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date:_	1-16	, 2002	Lagrid of lether
Date:_	1/16	, 2002	Name: Pavid L. Rabbers
Date	1111	, 2002	Name: Martin Susser
Date:_	(/18/	, 2002	Name: Pi-Yu Chung
Date:_	1/14	, 2002 (Name: David J. George
Date:_		, 2002	Name:
Date:_		, 2002	Name:

Assignment Document Return Address:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(206) 292-8600

Docket No: 005306.P063 Patent

APR 1 3 2005

IN THE UNITED STATES FATENT AND TRADEMARK OFFICE

In re Application of:

Rabbers et al.

Application No.: 09/976,400)

Filing Date: October 11, 2001

For: METHOD AND SYSTEM FOR
TRANSFERRING INFORMATION DURING
SERVER SYNCHRONIZATION WITH A
COMPUTING DEVICE

Commissioner for Patents Washington, D.C. 20231

Examiner: Not Yet Assigned

Art Unit: 2121

STATEMENT OF FACTS UNDER 37 C.F.R. §1.48(a) BY
David L. Rabbers, Brain Scott, Martin Susser, David J. George, and Pi-Yu Chung

Dear Sir:

We hereby declare:

- 1. We are making this Statement of Facts under 37 C.F.R. §148(a) in connection with U.S. Patent Application Serial No. 09/976,400 filed October 11, 2001 (hereinafter referred to as "the present patent application").
- 2. We currently reside at the following addresses and have the following citizenships:
 - (a) David L. Rabbers a citizen of the USA, and having a residence address of 7720 142nd Way SE, Newcastle, Washington 98059; and
 - (b) Brian Scott a citizen of the USA, and having a residence address of 16815 163rd Place SE, Renton, Washington 98058;
 - (c) Martin Susser a citizen of the USA, and having a residence address of 7104 58th

 Street Court West, University Place, Washington 98467; and
 - (d) David J. George, a citizen of the United Kingdom, and having a residence address of 14648 NE 16th Street, Bellevue, Washington 98007; and
 - (e) Pi-Yu Chung, a citizen of Taiwan, ROC and having a residence address of 15802 SE 58th Street, Bellevue, Washington 98006.

3. An error was made in the original filing by naming only David L. Rabbers, Brian Scott, and Martin Susser as the inventors, rather than naming David L. Rabbers, Brian Scott, Martin Susser, and David J. George as joint inventors.

4. A Request to Correct Inventorship was filed on February 1, 2002; however, an error was made in the Request to Correct Inventorship by naming David L. Rabbers, Martin Susser, Pi-Yu Chung, and David J. George as the inventors, rather than naming David L. Rabbers, Brian Scott, Martin Susser, and David J. George as joint inventors.

5. The inventorship error was made without any deceptive intent whatsoever by anyone.

6. It is now requested that the additional inventor, Brian Scott be added to the present patent application and Pi-Yu Chung be deleted from the present application.

We declare that all statements made herein on our own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: <u>July 17</u>, 2002

Dated: 7/17/ , 2002

Dated: ______, 2002

Dated: Tuly 15th, 2002

Dated: July 17 ,2002

David Z. Nather David L. Rabbers

Brain Scott

Martin Susser

David J. George

Źi-Yu Chung

Docket No: 005306.P063 Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not Yet Assigned

Art Unit: 2121

In re Application of:)
Rabbers et al. Rabbers et al.)
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Filing Date: October 11, 2001)
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Commissioner for Patents Washington, D.C. 20231

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We declare that all statements made herein on our own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated:	, 2002	
		David L. Rabbers
Dated:	, 2002	Brain Scott
Dated: 5/4		Martin Susser
Dated:	,2002	David J. George
Dated:	,2002	Pi-Yu Chung

Attorney's Docket No.: 005306.P063

PATENT

DECLARATION AND POWER OF A

As a below flatfled inventor	or, Thereby declare that	L.	
My residence, post office	address and citizenship	are as stated below, next to my	name.
and joint inventor (if plural a patent is sought on the i	I names are listed below invention entitled FOR TRANSFERRING	(if only one name is listed below v) of the subject matter which is SINFORMATION DURING SERVICE	claimed and for which
the specification of which			
U o	on (MM/DD/YYYY) Inited States Application	lication Number	•
I hereby state that I have including the claim(s), as a		nd the contents of the above-iden dment referred to above.	ntified specification,
I acknowledge the duty to in Title 37, Code of Federa		known to me to be material to p 1.56.	atentability as defined
foreign application(s) for p	patent or inventor's certi	35, United States Code, Sectior ficate listed below and have also ate having a filing date before the	identified below any
Prior Foreign Application(s	<u>s)</u>		Priority <u>Claimed</u>
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes No
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes No
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes No
I hereby claim the benefit provisional application(s) I		States Code, Section 119(e) of a	ny United States
Application Number	(Filing Date –	MM/DD/YYYY)	
		·	

application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35. United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application: (Filing Date - MM/DD/YYYY) Status -- patented, Application Number pending, abandoned Application Number (Filing Date – MM/DD/YYYY) Status -- patented, pending, abandoned I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. Send correspondence to Lawrence E. Lycke , BLAKELY, SOKOLOFF, TAYLOR & (Name of Attorney or Agent) ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct telephone calls to <u>Lawrence E. Lycke</u>, (206) 292-8600. (Name of Attorney or Agent) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full Name of Sole/First Inventor _ David L. Rabbers Date July 17 Inventor's Signature Residence Newcastle, Washington Citizenship USA (City, State) Post Office Address 7720 142nd Way SE Newcastle, Washington 98059 Full Name of Second/Joint Inventor Brian Scott Date July 17, 2002 Inventor's Signature Citizenship USA Residence Renton, Washington (City, State) Post Office Address 16815 163rd Place SE Renton, Washington 98058

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States

Full Name of Third/J	oint Inventor <u>Martin Susser</u>		<u> </u>
		Date	
Residence <u>Universi</u>	ity Place, Washington (City, State)	_ Citizenship <u>. USA</u>	(Country)
Post Office Address	7104 58 th Street Court West University Place, Washington 9846	7	
Inventor's Signature	50 8	Date	July 15th 2002
Residence Bellevue	e, Washington (City, State)	_ Citizenship <u>United</u>	Kingdom (Country)
Post Office Address	14648 NE 16 th Street Bellevue, Washington 98007		
Full Name of Fifth/Jo	int Inventor		
		Date	
Residence	(City State)	_ Citizenship	(Country)
	(Oily, State)		, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Full Name of Sixth/Jo	pint Inventor		
Inventor's Signature		Date	
Residence	(City, State)	Citizenship	(Country)
Post Office Address			
Full Name of Sevent	n/Joint Inventor	<u>.</u>	
Inventor's Signature		Date	
Residence	(City, State)	_ Citizenship	(Country)
Post Office Address _			

APPENDIX A

Ramin Aghevli, Reg. No. 43,462; William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Jordan Michael Becker, Reg. No. 39,602; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Jae-Hee Choi, Reg No. 45,288; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Donna Jo Coningsby, Reg. No. 41,684; Florin Corie, Reg. No. 46,244; Mimi Diemmy Dao, Reg. No. 45,628; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; George Fountain, Reg. No. 37,374; Andre Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Melissa A. Haapala, Reg No. 47,622; Alan Heimlich, Reg. No. 48,808; James A. Henry, Reg. No. 41,064; Libby H. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samuel S. Lee, Reg. No. 42791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Lawrence E. Lycke, Reg. No. 38,540 Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Richard A. Nakashima, Reg. No. 42,023; Stephen Neal Reg. No. 47,815; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. 45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43,876; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; Saina Shamilov, Reg. No. 48,266; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Ronald S. Tamura, Reg. No. 43,179; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No. 43,184; John F. Travis, Reg. No. 43,203; Kerry P. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Tom Van Zandt, Reg. No. 43,219; Brent Vecchia, Reg No. 48,011; Lester J. Vincent, Reg. No. 31,460; Archana B. Vittal, Reg. No. 45,182; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Charles P. Landrum, Reg. No. 46,855; Suk S. Lee, Reg. No. 47,745; and Raul Martinez, Reg. No. 46,904, Brent E. Vecchia, Reg. No. 48,011; Lehua Wang, Reg. No. P48,023; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



As a below named inventor	or, I hereby declare that:			
My residence, post office address and citizenship are as stated below, next to my name.				
and joint inventor (if plural a patent is sought on the in	names are listed below nvention entitled FOR TRANSFERRING	if only one name is listed below)) of the subject matter which is one of the subject matter which is one of the subject matter which is one of the subject	laimed ar	ginal, first, nd for which
the specification of which				
U or	on (MM/DD/YYYY) nited States Application	Number <u>09/976,400</u> ication Number_	 le)	<u>-</u>
I hereby state that I have r including the claim(s), as a		d the contents of the above-iden ment referred to above.	tified spe	cification,
I acknowledge the duty to in Title 37, Code of Federa		known to me to be material to pa	atentabilit	y as defined
foreign application(s) for p	atent or inventor's certif	35, United States Code, Section icate listed below and have also te having a filing date before tha	identified	below any
Prior Foreign Application(s			Priori <u>Claim</u>	
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
Number	Country	(Foreign Filing Date - MM/DD/YYYY)	Yes	No
I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:				
Application Number	(Filing Date –	MM/DD/YYYY)		
Application Number	(Filing Date –	MM/DD/YYYY)		

Rev. 11/28/01 (D2)

I hereby claim the benefit under application(s) listed below and not disclosed in the prior Unite 35, United States Code, Section be material to patentability as became available between the filing date of this application:	, insofar as the subject matted and States application in the mon on 112, I acknowledge the do defined in Title 37, Code of F	er of each of the claims of anner provided by the fir ity to disclose all informated ederal Regulations, Sec	of this application is st paragraph of Title ation known to me to tion 1.56 which
Application Number	(Filing Date – MM/DD/YYYY)		abandoned
Application Number	(Filing Date – MM/DD/YYYY		abandoned
I hereby appoint the persons li of this document) as my respe and revocation, to prosecute the Office connected herewith.	ctive patent attorneys and p	atent agents, with full pov	ver of substitution
Send correspondence to		, BLAKELY, SOKOL	OFF, TAYLOR &
ZAFMAN LLP, 12400 Wilshir telephone calls to <u>Lawren</u>	nce E. Lycke , (2 of Attorney or Agent) ements made herein of my ation and belief are believe the knowledge that willful onment, or both, under Se	own knowledge are truded to be true; and further false statements and the ction 1001 of Title 18 or	ue and that all er that these ne like so made are f the United States
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Inventor's Signature		Date	
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APPENDIX A

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APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

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Page 1 of 2

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APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DR. | 09/976,400 | 10/11/2001 | 2154 | 1124 | 005306.P063

DRAWINGS TOT CLAIMS IND CLAIMS

11 32 5

CONFIRMATION NO. 2799

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LOS ANGELES

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Applicant(s)

David L. Rabbers, Newcastle, WA; MARTIN SUSSER, NEWCASTLE, WA; PI-YU CHUNG, BELLEVUE, WA; DAVID J. GEORGE, BELLEVUE, WA; **ENTERED**

MAR 2 5 2002

STATUS DB-LA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/30/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

APR - 3 2002

BSTZ - SEATTLE

Title

Method and system for transferring information during server synchronization with a computing device

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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